

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#7 DAC \$  
PATENT  
(S)

☒ Application of: Charles Pennington, et al.

Group No. 2881 ✓

Serial No.: 09/746,156

Examiner: Anthony G. Quash

Filed: 12/22/00

For: Storage Vessels and Related Closure Methods

☐ Patent No.\*

Issued:

\*NOTE: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application serial number and filing date, and send to United States Patent and Trademark Office, P.O. Box 371611, Pittsburgh, PA 15250-1611.

**Mail Stop**

**Commissioner for Patents**

**P.O. Box 1450**

**Alexandria, Virginia 22313-1450**

**NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY  
(37 C.F.R. §1.28(C))**

**Filing of Small Entity Statement**

1. On December 22, 2000, a small entity statement was filed in this

☒ application,

☐ patent.

**Payment of Fee(s) as Small Entity**

(complete the following applicable item(s))

2. ☒ The filing fee was paid on December 22, 2000.

☐ The issue fee was paid on

☐ The 3 1/2 year maintenance fee was paid on

☒ Other:

**CERTIFICATE OF MAILING (37 C.F.R. 1.8)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 10/10/03

Stephanie Riley  
Stephanie Riley

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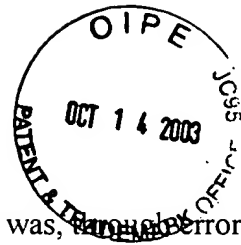
10/17/2003 AXONDAF1 00000054 200778 09746156

OCT 17 2003

01 FC:1001 770.00 JP  
02 FC:1202 96.00 DA 84.00 OP

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### Error



3. The error in the payment of fee(s) as small entity was as follows:

- ☐ Although applicant is a small entity, the small entity statement was, through error, not signed by all persons or entities having an interest.
- ☐ Although applicant is a small entity, the small entity statement was, through error, not signed by proper authorized person.
- ☒ Although erroneously believed itself entitled to small entity status, and has now discovered that this is not so.
- ☐ Before the payment of the fee, a change occurred whereby small entity status could not be claimed by applicant, and through error, the PTO was not notified.
- ☐ Other:

### Fee Payment for Deficiency

**WARNING:** *"The deficiency is based on the amount of the fee for other than a small entity, in effect at the time the deficiency is paid in full" 37 C.F.R. §1.28(c).*

(check and complete the following applicable item)

- 4. ☐ No fee for deficiency is due, because this notification is within two months of the time of the payment of the fee, and there is attached a correct statement for the status of the applicant as small entity. (37 C.F.R. 1.28(a)).
- ☒ Payment is attached for the sum of \$472.00, for the deficiency between the amount of fee(s) paid and the amount due.

**Note:** *Calculation of deficiency amounts are to be based on the fee levels in effect at the time of the payment of the deficiency. Refer to notice of September 14, 1992, 1143 O.G. 33-40, at 33 and 37 C.F. R. 1.28(c).*

### Fee Payment

- 5. ☒ Attached is a check in the amount of \$472.00
- ☐ Charge our Account 20-0778 in the amount of \$ . A duplicate of this paper is attached.
- ☐ Please charge our Account 20-0778 for any fee deficiency.

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Further Status As Small Entity




- ☐ Because a proper small entity statement  
☐ has been filed on  
☐ is attached

the status of this case is as a small entity.

- ☒ Status as a small entity is hereby withdrawn.

Date: 10 OCT 03

  
M. Paul Qualey, Reg. No. 43,024  
Practitioner of Record

**Thomas, Kayden, Horstemeyer & Risley, LLP**  
100 Galleria Parkway, Suite 1750  
Atlanta, GA 30339-5948  
Telephone No.: 770/933-9500  
Customer No. 24504

(if applicable)

- ☐ Inventor  
☐ Assignee of complete interest  
☐ Person authorized to sign on behalf of assignee  
☒ Practitioner of Record  
☐ Filed Under Rule 34(a) Registration No.:

(complete the following, if applicable)

Name of Assignee  
Address of the Assignee

Title of Person Authorized to Sign on Behalf of Assignee:

Assignment recorded in PTO on  
Reel ; Frame

*Note: A statement under 37 C.F.R. § (b) is not required to be submitted when the assignee signs a small entity declaration. Notice of April 30, 1993, 1150 O.G. 62-64.*

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# Transaction List

Search by

☐ Accounting Date:  Operator ID:   
(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number: 09746156

☐ Attorney Designation:

☐ Deposit Account No.:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
07/17/2003	ZJUHA1	152	SALE	2202	A	63.00	09746156	200778
02/02/2001	JJALLAH2	6	SALE	581	A	40.00	09746156	
12/29/2000	TGEDAMU1	88	SALE	195	A	300.00	09746156	
12/29/2000	TGEDAMU1	87	SALE	203	A	27.00	09746156	
12/29/2000	TGEDAMU1	86	SALE	201	A	355.00	09746156	
12/29/2000	TGEDAMU1	85	SALE	195	V	300.00	09746156	
12/29/2000	TGEDAMU1	84	SALE	203	V	27.00	09746156	
12/29/2000	TGEDAMU1	83	SALE	201	V	355.00	09746156	



Adjustment Detail

Authorization Detail



Adjustment date: 10/17/2003 AYONDAF2  
12/29/2000 TGEDAMU1 00000080 09746156  
01 FC:201 -355.00 OP  
02 FC:203 -27.00 OP

Adjustment date: 10/17/2003 AYONDAF1  
07/17/2003 ZJUHA1 00000115 200778 09746156  
01 FC:2202 63.00 CR

917